



**Governor Janice K. Brewer**



**Director Gilbert M. Orrantia**

## **Arizona Department of Homeland Security**

**FFY 2010 Homeland Security Grant  
Program Information Seminar  
January 2010**

# Seminar Objectives

- Introductions
- Timeline/Due dates
- Overview of FFY 2010 HSGP Guidance
- Parameters
- Application overview
- New rules
- Reporting requirements



Governor Janice K. Brewer

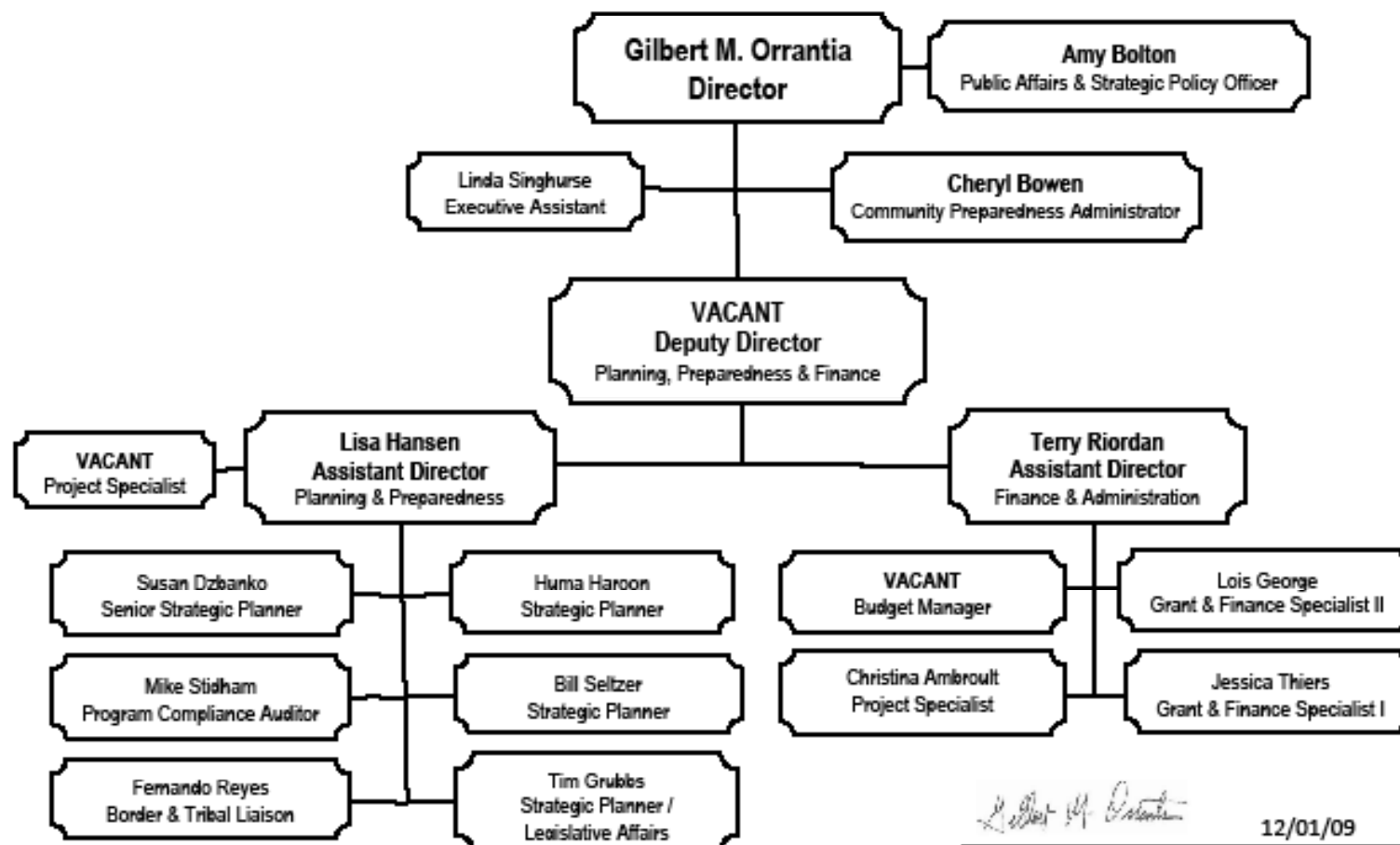
# State of Arizona

## Department of Homeland Security



Director Gilbert M. Orrantia

Effective November 30, 2009



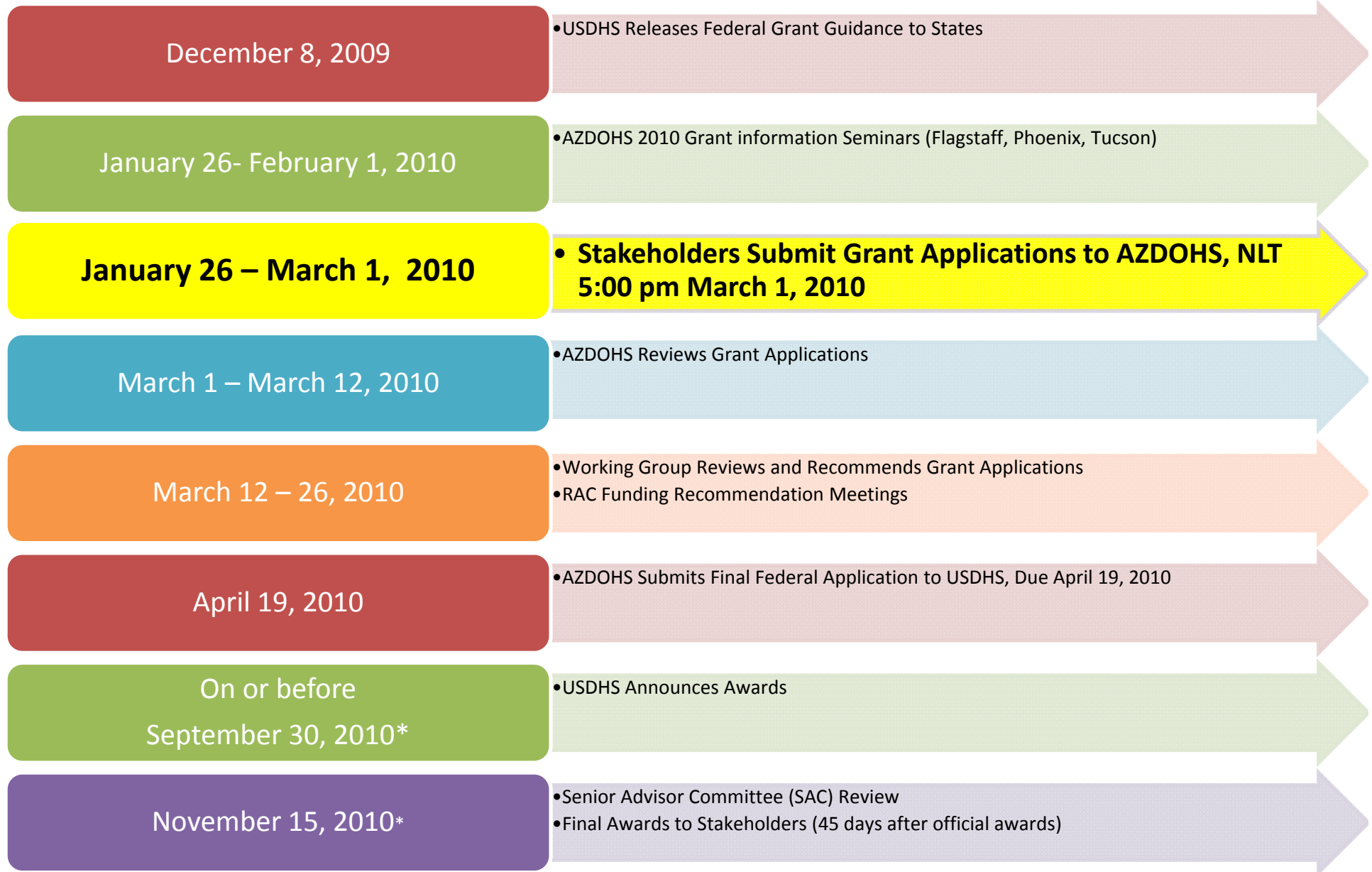
*Gilbert M. Orrantia*

Gilbert M. Orrantia

12/01/09

Date

**FFY 2010 Homeland Security Grant Process**  
**State Homeland Security Grant Program (SHSGP), Citizen Corps Program (CCP) and**  
**Metropolitan Medical Response System (MMRS) and STATE AGENCIES**



# **FFY 2010 HSGP Allocations**

- State Homeland Security Program (SHSGP)-\$13,217,365
- Urban Area Security Initiative (UASI)
  - Phoenix UASI-\$10,832,667
  - Tucson UASI-\$4,515,400
- Metropolitan Medical Response System (MMRS)-\$1,269,676
- Citizen Corps Program (CCP)-\$251,416



# FFY 2010 HSGP Guidance

## Key Changes/Mandates

- Removal of restrictive Federal parameters
- Law Enforcement Terrorism Prevention Activities (LETPA) oriented activities
  - At least 25% of SHSGP funds and 25% of UASI funds must be dedicated toward LETPA-oriented planning, organization, training, exercise and equipment activities
- Removal of optional cost share

# FFY 2010 HSGP Guidance

## Key Changes/Mandates

- Management & Administration (M&A) is an allowable expense. Jurisdictions may utilize up to **5%** of the project award for M&A purposes
  - M&A costs must be included in the application and approved by AZDOHS
  - State agencies may not utilize M&A
- Radios must be P-25 Capable when purchased

# FFY 2010 HSGP Guidance

## Important Information

- Obligation of funds
  - State must obligate 80% of funds to local jurisdictions within 45 days of receipt of the funds
- NIMSCAST – applicants must be up-to-date in NIMSCAST
  - Within NIMSCAST, Tribes must allow AZDOHS and ADEM access to view their NIMSCAST status
  - If you have questions regarding NIMS compliance please contact:

Mariano Gonzalez

602.464.6327

[mariano.gonzalez@azdema.gov](mailto:mariano.gonzalez@azdema.gov)



# FFY 2010 HSGP Guidance

## Important Information

- Environmental Planning and Historic Preservation (EHP) and National Environmental Policy Act (NEPA) requirements
  - For details visit the websites below:
    - <http://www.fema.gov/plan/ehp/ehplaws/nepa.shtm#0>
    - <http://www.azdohs.gov/Grants/EHP.asp>
- Grant funds may be used for preparation of EHP analyses

# FFY 2010 HSGP Guidance

## Important Information

- E-Verify Program, all eligible sub grantees must certify they are participating in E-Verify.
- For more information on E-Verify please visit [www.dhs.gov](http://www.dhs.gov) and search E-Verify
- Target Capabilities Assessment (TCA)
  - To request a copy of the TCA please visit:  
<http://www.azdohs.gov/Grants/TCA.asp>

# Parameters

- 12-month period of performance
- Extension Statistics
  - 14% received 3 month extensions
  - 10% received longer than 3 month extensions
- Personnel positions
- Supplanting is not allowable
- General use software is not allowable

# Parameters

- Normal operating budget items not allowed
- General use vehicles are not allowable
- Maintenance
  - Information Bulletin #336
  - Vehicle maintenance is a jurisdictional responsibility

# Parameters

- Be specific in your answers to all questions
- Equipment Budget Narrative (Equip BN) Item Description
  - Do not be vague in your description
  - Do not simply copy the information from the authorized equipment list (AEL) description-please be specific



# Application

- Due by 5:00 pm on Monday, March 1, 2010.
- Late applications will not be accepted
- Incomplete applications will not be accepted
- Application will be available no later than January 26, 2010 at [www.azdohs.gov/grants/FFY2010Info.asp](http://www.azdohs.gov/grants/FFY2010Info.asp)

# Application

- Applications must be submitted electronically through the AZDOHS website
  - The link to upload will be provided within the FFY 2010 HSGP Application
- Applications submitted directly to your strategic planner will not be accepted
- A checklist is provided within the application for you to track your progress and ensure a completed application is submitted

Grants

Community Preparedness

Councils & Committees

Public Affairs

Resources & Links



HOMELAND SECURITY  
ADVISORY SYSTEM

SEVERE

SEVERE RISK OF  
TERRORIST ATTACKS

HIGH

HIGH RISK OF  
TERRORIST ATTACKS

ELEVATED

SIGNIFICANT RISK OF  
TERRORIST ATTACKS

GUARDED

GENERAL RISK OF  
TERRORIST ATTACKS

LOW

LOW RISK OF  
TERRORIST ATTACKS

## Arizona Department of Homeland Security Online Application Submission

Name:

Title:

Agency:

Address:

City:

State:

AZ

Zip:

Phone:

Email:

Region:

Funding Source:

Notes/Comments:

Attach your application:

Browse...

Submit your application



GOVERNOR  
JAN BREWER



U.S. DEPT. OF  
HOMELAND SECURITY



READY.GOV



RECOVERY.GOV



EMERGENCY  
MANAGEMENT

# Application

## Training-

- Regional Training- (MOUs to ADEM)- One point of contact for each county must submit a completed application
- ADEM Training Webpage:  
[www.dem.azdema.gov/preparedness](http://www.dem.azdema.gov/preparedness)
- Detailed information regarding HSGP Training refer to pages 80-85 of FFY 2010 HSGP Grant Guidance

# Application

- Scoring Criteria
  - Embedded scoring criteria is provided within each application
  - RACs will utilize the scoring criteria as an optional method to review and prioritize applications



# Award/Reporting Requirements

- Award letters will include:
  - Funding amounts
  - Instructions for completing subgrantee agreements
- Monitoring Program currently in process. All grants exceeding \$100,000 will receive a site visit during the life of the grant

# Award/Reporting Requirements

- Reimbursement & Reporting Requirements
  - Forms and directions available online:  
[www.azdohs.gov](http://www.azdohs.gov)
- Quarterly reports
  - Due 15 days after the end of the quarter
  - Delinquent reporting will result in delay of reimbursement
  - [www.azdohs.gov/grants](http://www.azdohs.gov/grants)
- Delinquent reporting will affect status for future funding

# MODIFICATIONS

- Parameters:
  - Modification requests outside the original scope of the approved project, including any equipment outside of what has been previously recommended by the RAC or UASI, and awarded by AZDOHS or to increase the original award amount will not be authorized and are unallowable
  - If the project objectives of the original award have been accomplished, then AZDOHS will not authorize a project modification. Any unexpended funds will be returned to AZDOHS for reallocation
  - Request form can be found:  
<http://www.azdohs.gov/Grants/index.asp>

# MODIFICATIONS

- Example 1: Allowable Modification
  - Authorized Training budget: \$5,000-HazMat Tech
  - Authorized Equipment budget: \$5,000-Radio
    - Radio cost is \$5,500
    - You are allowed to under expend the training budget by \$500 to make up the budget difference

# MODIFICATIONS

- Example 2: Unallowable Modification
  - Authorized Training budget: \$5,000-HazMat Tech
  - Authorized Equipment budget: \$5,000-Radio
    - Purchase a Satellite Phone and Radio for \$6,000
    - Since the Satellite Phone was not an authorized and awarded piece of equipment and not identified in the application this modification is not allowable.



# EXTENSIONS

- Extensions will be granted in extenuating circumstances
  - Authorization of requests up to 90 days will be at the discretion of AZDOHS
  - Requests in excess of three months will require recommendation by either the RAC or UASI working group
- Extension forms are available at [www.azdohs.gov](http://www.azdohs.gov)
  - Additional parameters and instructions are available within the extension request form

# Reminder

- All publications created with funding under HSGP shall prominently contain the following statement: **“This document was prepared under a grant from FEMA’s Grant Programs Directorate, U.S. Department of Homeland Security. Points of view or opinions expressed in this document are those of the authors and do not necessarily represent the official positions or policies of FEMA’s Grant Programs Directorate or the U.S. Department of Homeland Security.”**
- When practicable, any equipment purchased with grant funding shall be prominently marked as follows: **“Purchased with funds provided by the U.S. Department of Homeland Security.”**

# FFY 2010 Citizen Corps Program

- Allocation to Arizona decreased 13.4%
- All grant recipients must update/validate their Citizen Corps information on the Citizen Corps and national program websites twice a year.
- Reminder:
  - Expenditures for promotional items must not exceed 15% of allocation.
  - Expenditures for kits used in volunteer response or clothing for official identification must not exceed 30% of allocation

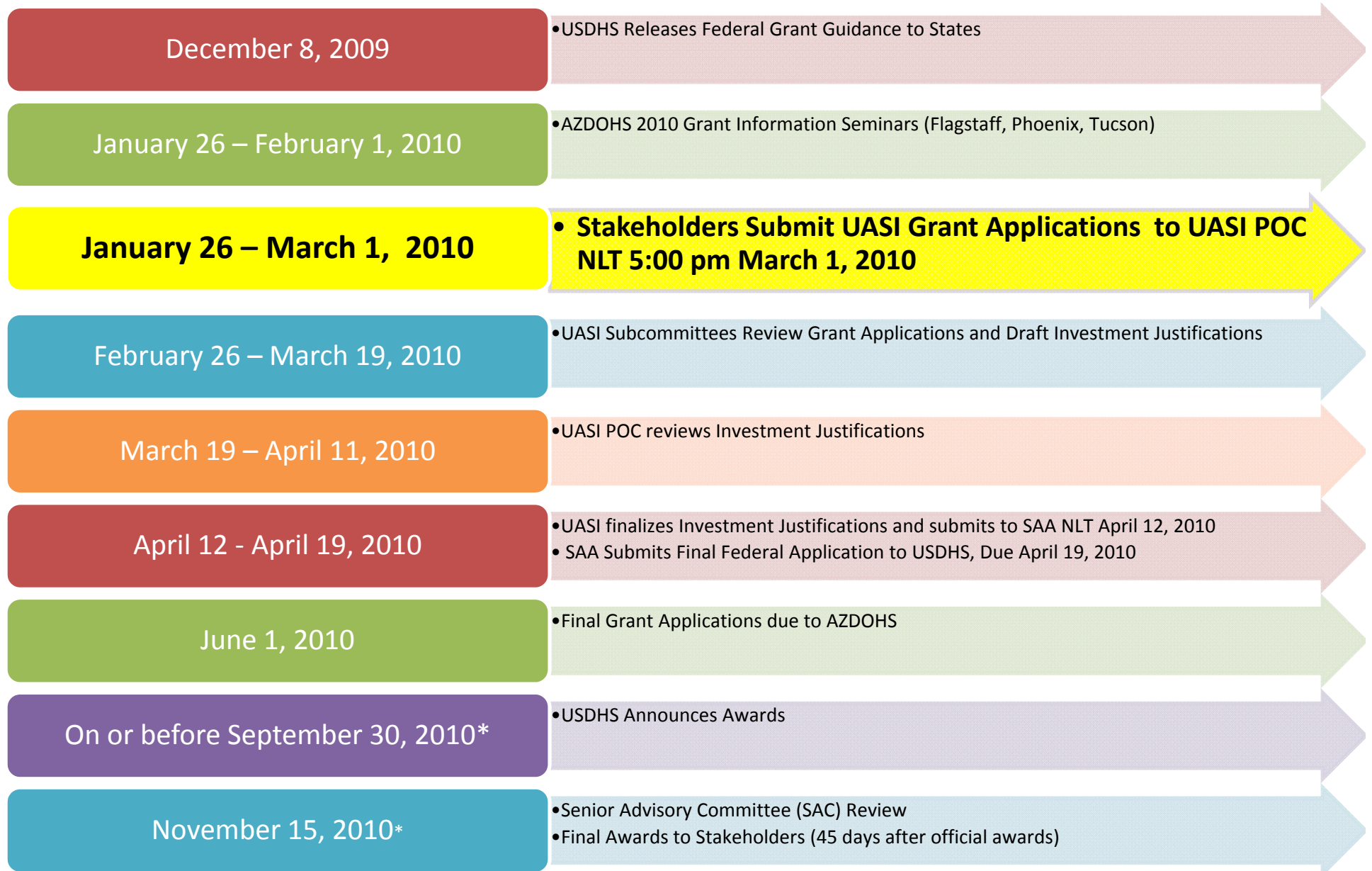
# County Emergency Management CCP Allocations with Special Conditions

Apache	\$5,649	Mohave	\$6,749
Cochise	\$6,187	Navajo	\$5,977
Coconino	\$6,157	Pima	\$13,614
Gila	\$5,484	Pinal	\$8,015
Graham	\$5,337	Santa Cruz	\$5,405
Greenlee	\$5,074	Yavapai	\$6,933
La Paz	\$5,183	Yuma	\$6,743
Maricopa	\$39,043		
<b>Total</b>			<b>\$131,548</b>

Allocation formula: Base amount/\$5,000 + Population factor

Special Conditions: Completion and submission of an application  
Receipt of Award Notification

**FFY 2010 Homeland Security Grant Process**  
**Urban Area Security Initiative (UASI)-this does NOT include State Agencies**



1/26/2010

\*Tentative Dates

Version 1.0



The background of the slide is a blurred image of the United States flag, showing the stars and stripes in a diagonal orientation.

# FFY 2010 UASI

## Nonprofit Security Grant Program

- Eligible nonprofit organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code) that are at high risk of terrorist attack and **located within either the Phoenix or Tucson Urban Areas**
- Eligible nonprofits are required to establish membership with their local Citizen Corps Council, where one exists, **prior** to the application submission deadline.

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# FFY 2010 UASI

## Nonprofit Security Grant Program

- \$75,000 cap on requests
- No cost share/match requirement
- Equipment costs are limited to **two** categories
  - Physical Security Enhancement (category 14)
  - Inspection & Screening Systems (category 15)
- Environmental Planning and Historic Preservation Compliance (EHP)
- Reference NSGP guidance pages 14-16 for complete list of eligible and ineligible costs
- Eligible nonprofits are required to establish membership with their local Citizen Corps Council, where one exists, **prior** to the application submission deadline.

# FFY 2010 UASI

## Nonprofit Security Grant Program

December 8, 2009

- USDHS Release of Federal Grant Guidance to States

January 11, 2010

- AZDOHS Makes Notification of Process to Stakeholders

January 19-26, 2010

- AZDOHS 2010 Grant Information Seminars (Flagstaff, Phoenix, Tucson)

**February 19, 2010**

- Grant Applications due to AZDOHS ([cbowen@azdohs.gov](mailto:cbowen@azdohs.gov)) no later than 11:59 p.m. EST (9:59 p.m. Arizona time), February 19, 2010

February 22 - March 1, 2010

- AZDOHS Review of Grant Applications

March 1-26, 2010

- Review/prioritization by Urban Areas Working Group

On or before April 9, 2010

- AZDOHS Submits Final Applications to USDHS

On or before September 30, 2010\*

- USDHS Announces Awards

November 15, 2010\*

- Senior Advisory Committee Review
- Final Awards to Stakeholders (45 days after official awards)

## FFY 2010 Tribal Homeland Security Grant Program (THSGP)

December 08, 2009

- USDHS Releases Federal Grant Guidance to States

January 18-26, 2010

- AZDOHS 2010 Grant Information Seminar (Flagstaff, Phoenix, Tucson)

**NLT April 19, 2010**

- **Tribes Submit Final Investment Justification to USDHS, Due April 19, 2010**
- Copy to AZDOHS

Within 30 Days

- AZDOHS will Review and Provide Comments to FEMA

On or Before September 30, 2010\*

- USDHS Awards Funds to the Tribal Stakeholders



# FFY 2010 TRIBAL HOMELAND SECURITY GRANT PROGRAM (THSGP)

## FFY 2009 VS. FFY 2010 Tribal Grant Program Comparison:

FFY 2009	FFY 2010
Program Name: State Homeland Security Program – Tribal (SHSP-Tribal)	Program Name: Tribal Homeland Security Grant Program (THSGP)
Available Funding: \$1,788,00 (Nationwide)	Available Funding: Up to \$10,000,000 (Nationwide)
AZ Tribal Awards Total: \$998,000 (Over 56 % of total Nationwide Allocation!)(7 out of the 13 Nationwide Tribes selected were from AZ!)	AZ Tribal Awards Total: ??? - ATTENTION TRIBAL NATIONS: Please help us to continue with this successful program by applying for this program

- Please Note: FFY 2010 THSGP funds will be allocated based on Tribal eligibility (see THSGP Guidance) per the 9/11 Act, and the effectiveness of the applicant's THSGP Investment Justification (as determined through a peer review process)
- To validate eligibility Tribes must contact [ASKCSID@dhs.gov](mailto:ASKCSID@dhs.gov) directly to request their eligibility status.



# Housekeeping

- FFY 2009 funds must be invoiced by September 30, 2010
- Only complete reimbursement packets will be processed
  - Reimbursement cover sheet, invoices and proof of payment
  - Requests for Reimbursement should be submitted via hard copy mail
- NIMSCAST and quarterly reports must be on file and up-to-date in order to be reimbursed
- Financial quarterly reports are no longer necessary